PTO/SB/05 (08-03)

Approved for use through 07/31/2006. OMB 0651-0032

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## UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No. M1071.1868 Yukihiro Kitaichi First Inventor DIELECTRIC FILTER, DIELECTRIC DUPLEXER, Title AND COMMUNICATION APPARATUS

namuicional anniications under 37 CED 1 53/h))

Exp.	ress Mail Label No.					
APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents.	ADDRESS TO: MS Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450					
1. X Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original, and a duplicate for fee processing)  2. Applicant claims small entity status. See 37 CFR 1.27.  3. X Specification [Total Pages 14]  (preferred arrangement set forth below)  - Descriptive title of the invention  - Cross Reference to Related Applications  - Statement Regarding Fed sponsored R & D  - Reference to sequence listing, a table, or a computer program listing appendix  - Background of the Invention  - Brief Summary of the Invention  - Brief Description of the Drawings (if filed)  - Detailed Description  - Claim(s)  - Abstract of the Disclosure  4. X Drawing(s) (35 U.S.C. 113) [Total Sheets 5]	7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)  8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)  a. Computer Readable Form (CRF)  b. Specification Sequence Listing on:  i. CD-ROM or CD-R (2 copies); or ii. Paper  c. Statements verifying identity of above copies  ACCOMPANYING APPLICATION PARTS  9. X Assignment Papers (cover sheet & document(s))  10. 37 CFR 3.73(b) Statement (when there is an assignee)  11. English Translation Document (if applicable)  12. Information Disclosure					
5. Oath or Declaration [Total Sheets 2]  a. X Newly executed (original or copy)  b. Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 18 completed)  i. DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).	12. X Statement (IDS)/PTO-1449 X Copies of IDS Statement (IDS)/PTO-1449  13. Preliminary Amendment  14. X Return Receipt Postcard (MPEP 503) (Should be specifically itemized)  15. X Certified Copy of Priority Document(s) (if foreign priority is claimed)  16. Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.  17. Other:					
6. Application Data Sheet. See 37 CFR 1.76  18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:  Continuation Divisional Continuation-in-part (CIP) of prior application No.:  Prior application information: Examiner For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.						
19. CORRESPONDENCE ADDRESS						
Customer Number: 32172	OR X Correspondence address below					
DICKSTEIN SHAPIRO MORIN & O Steven I. Weisburd	SHINSKY LLP					
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Signature Kiehard Ve	Date November 13, 2003					

PTO/SB/17 (10-03)
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					Complete if Known			
FEE TRANSMITTAL			Application Number Not Yet Assigned					
for EV 2004		Filing Date			Concurrently Herewith			
	for FY 2004 Filting Date First Named Inventor				ntor Yukihiro Kitaichi			
Effective 10/01/2003, Patent fees are subject to annual revision.		Exam	iner N	ame	Not Yet Assigned			
Applicant claims small entity status. See 37 CFR 1.27			nit		N/A			
TOTAL AMOUNT OF PAYMENT (\$) 810.00		Attorn	ey Do	cket No	o. M1071.1868			
METHOD OF PAYMENT (check all that apply)	T		-	FEE	CALCULATION (continued)			
Credit Maney .								
Check X Cledit Order Other None 3. ADDITIONAL FEES  Deposit Account:								
Deposit	Large	e Entity	Small	I Entity	_			
Account Sumber 50-2215	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description Fee Pai			
Deposit Dickstein Shapiro Morin &	1051	130	2051	65	Surcharge – late filing fee or oath			
Account Name Oshinsky LLP					Surcharge – late provisional filing fee or cover			
The Director is authorized to: (check all that apply)	1052	50	2052	25	sheet.			
Charge fee(s) indicated below X Credit any overpayments	1053	130	1053	130	Non-English specification			
Charge any additional fee(s) or any underpayment of fee(s)	1812	2,520	1812	2,520	For filing a request for ex parte reexamination			
Charac (so/s) indicated below average for the Siller for	1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action			
Charge fee(s) indicated below, except for the filling fee to the above-identified deposit account.	1805	1,840*	1805	1,840*	Requesting publication of SIR after			
FEE CALCULATION	1251	110	2251	55	Examiner action  Extension for reply within first month			
1. BASIC FILING FEE	1252	420	2252		Extension for reply within second month			
Large Entity Small Entity	1253	950	2253	475	Extension for reply within third month			
Fee Fee Fee Fee Fee Description Fee Paid	1254	1,480	2254	740	Extension for reply within fourth month			
Code (\$)   Code (\$)   1001 770   2001 385   Utility filing fee     770.00	1255	2,010	2255		Extension for reply within fifth month			
1002 340 2002 170 Design filing fee	1401	330	2401		Notice of Appeal			
1003 530 2003 265 Plant filing fee	1402	330	2402		Filing a brief in support of an appeal			
1004 770 2004 385 Reissue filing fee	1403	290	2403	145	Request for oral hearing			
1005 160 2005 80 Provisional filing fee	1451	1,510	1451	1,510	Petition to institute a public use proceeding			
SUBTOTAL (1) (\$) 770.00	1452	110	2452	55	Petition to revive – unavoidable			
30B10TAL(1) (3) 770.00	1453	1,330	2453	665	Petition to revive - unintentional			
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	1501	1,330	2501	665	Utility issue fee (or reissue)			
Extra Fee from Claims below Fee Paid	1502	480	2502	240	Design issue fee			
Total Claims 20 -20** = x = 0.00	1503	640	2503	320	Plant issue fee			
Independent 3 -3** = x = 0.00	1460	130	1460	130	Petitions to the Commissioner			
Multiple Dependent =	1807	50	1807	50	Processing fee under 37 CFR 1.17(q)			
Large Entity Small Entity	1806	180	1806	180	Submission of Information Disclosure Stmt			
Fee Fee Fee Code (\$) Fee Description	8021	40	8021	40	Recording each patent assignment per property (times number of properties) 40.00			
1202 18 2202 9 Claims in excess of 20	1809	770	2809	385	Filing a submission after final rejection (37 CFR 1.129(a))			
1201   86   2201   43   Independent claims in excess of 3   1203   290   2203   145   Multiple dependent claim, if not paid	1810	770	2810	385	For each additional invention to be			
1204 86 2204 43 ** Reissue independent claims	1801	770	2801	385	examined (37CFR 1.129(b)) Request for Continued Examination (RCE)			
over original patent	1802	900	1802	900	Request for expedited examination			
1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent	Other	of a design application Other fee (specify)						
SUBTOTAL (2) (\$) 0.00 *Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 40.00								
**or number previously paid, if greater; For Reissues, see above								
SUBMITTED BY (Complete (if applicable))								
Name (Print/Type) Richard LaCaya		ration No ey/Agent)		,135	Telephone (212) 896-5484			
Signature Dhise has do	PΛ		_		Date November 13, 2003			